

5PO1-208

FEBRUARY 21, 2002

PTAS

CORNING INCORPORATED RECEIVED THOMAS R. BEALL

SP-TI-03-1

CORNING, NY 14831 MAR 9 4 2002 NOTICE

CORRECTED

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



Paterit Dept.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/09/2001

REEL/FRAME: 012071/0208

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAO, YIJIA

DOC DATE: 07/27/2001

ASSIGNOR:

LI, GUANGSHAN

DOC DATE: 07/25/2001

ASSIGNOR:

RAGHAVACHARI, NALINI

DOC DATE: 07/27/2001

ASSIGNOR:

HE, MINGQIAN

DOC DATE: 07/25/2001

ASSIGNOR:

XIE, XINYING

DOC DATE: 07/27/2001

ASSIGNOR:

XIE, YUMING

DOC DATE: 07/27/2001

ASSIGNEE:

CORNING INCORPORATED PATENT DEPT.; SP-TI-03-1 CORNING, NEW YORK 14831

012071/0208 PAGE 2

SERIAL NUMBER: 09925808

PATENT NUMBER:

FILING DATE: 08/09/2001

ISSUE DATE:

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

02-20-2002



					Case Name: SP	01-208
To the Honoruble	I Commissi.	1019	98317	1	documents or copy thereof.	<u>e</u> •
(1) Conveying Party	9/0	Xinying Xie, Yur	ning Xic		chari, Mingqian He G BIOMOLECULES	9/925808
	•	nveying party(ies)			, ×	110
(2) Receiving Party	: Cornir Patent	ng Incorporated Dept.; SP-T1-03-1				
(3) Nature of conve	yance: A	ssignment execute	d: <u>07-27-2</u> 07-27-2	001, 07-25-20 001, 07-27-20	01, 07-27-2001, 07-25 01.	-2001.
(4) Application nu recorded: A. Patent Application filling date	O) Olication	1925	808	nst which this Patent No.(s): Filing date:	s assignment is to be	
If this docume recorded again	ent is beir nst said a	pplication, execut	ea on	 •	his assignment is also	
(5) Name and addr	ess of par	ny to whom corres	pondence o	concerning do	cument should be maile	ed:
Reg Coi Pat SP- Co	omas R. B g. No. 40, rning Inco ent Depar -TI-03-1 rning, NY one No.: (424 orporated diment				
(6) Total Number	of applica	ations and/or paten	nts involved	l: <u>one</u>		
☐ Ind ⊠ Au 03	closed (Caluded withorization - 3325.		ig Incorpor	ated to charge	deposit account numb	
To the best of attached constraints. Thomas R. B.	f my knov V Is a true X Y C - IS reall	wledge and belief, copy of the origin	the foregoi al documer	ng information nt.	n is true and correct and Date	d any
Total numbe	r of pages	comprising cover	sheet: four	· <u>(4)</u>		
Revision: July 28, 2000 S'\Applications\2001\S1' '2001 BTDH11 0000044 		nment Cover Sheet. Joc				

PATENT

Attorney Docket No.: SP01-208

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yijia Bao

Guangshan Li

Nalini Raghavachari

Mingqian He Xinying Xie Yuming Xie

Serial No:

09/925,808

Group Art Unit: 1645

Filed:

August 9, 2001

Examiner: TBA

For:

TREATMENT OF

SUBSTRATES FOR IMMOBILIZING BIOMOLECULES

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a redlined copy of the Notice of Recordation of Assignment Document cover sheet which was received from the U.S. Patent and Trademark Office.

The Notice has been reviewed, and an error has been found as follows:

Raghavachari, Nalini

It is respectfully requested that a Corrected Notice of Recordation of Assignment Document cover sheet be issued as soon as possible.

Since the error is on the part of the Patent Office, no fee is necessary.

If there are any other fees due in connection with the filing of this Request, please charge the fees to Deposit Account No. 03-3325.

Respectfully submitted,

Thomas R. Beall

Registration No.: 40,424 Phone: (607) 974-3921

Date: October 30, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on

October 30, 2001.

Date of Deposit

Thomas R. Beall

Attorney Docket No.: SP01-208

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	7-27-2001
DATE	7-25-2601
DATE	7-27-2001
DATE	7-25-2001
DATE	7-37-2001
DATE	7-27-2001

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Attorney Docket No.: SP01-208

Signed at Corning, NY, this	
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Date	Yijia Bao
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Date	Grangshan Li
7/27/01	Nalini Raghavachari
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who executed the foregoing instrum	ent, and acknowledged that they exceeded the same
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State of New York)	Notary Public Susan M. Hynes Notary Public State of New York No. 01HY8023188 Qualified in Steuben County Commission Expires April 18, 20
; ss. County of Steuben)	
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	Notary Public
,	Susan M. Hynes Notary Public State of New York
	No. 01HY6023186
	No. 01 HY6023186 Qualified in Steuben County Commission Expires April 19 20 13

Attorney Docket No.: SP01-208

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	7-27-2001
DATE	7-25-2001
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DATE	7-25-2001
DATE	7-27-2001
DATE	7-27-2001

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

(U.S. Regular Application)

Attorney Docket No.: SP01-208

Signed at Corning, NY, this	
7-27-01	U'
Date	Yijia Bao
7/25/01	All home
Date	Grangshan Li
7/21/01	Nalini Raghavachari
Date	Naimi Raghavachan
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Date	Yuming Xie
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	Notary Public Susan M. Hynes
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Attorney Docket No.: SP01-208

PATENT REEL: 012071 FRAME: 0214

Susan M. Hynes Notary Public State of New York No. 01 HY6023186 Qualified in Steuben County Commission Expires April 19, 20

RECORDED: 08/09/2001

(U.S. Regular Application)

(U.S.) Attorney Docket No.: SP01-208

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMBINED

Applicant(s):

Bao, et al

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Serial No.:

and

Filed:

POWER OF ATTORNEY

For:

TREATMENT OF SUBSTRATES FOR

IMMOBILIZING BIOMOLECULES

Assistant Commissioner for Patents Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

POWER OF ATTORNEY BY ASSIGNEE

CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to the accompanying application for United States Letters Patent entitled TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES and executed by Yijia Bao, Guangshan Li, Nalini Raghavachari, Mingqian He, Xinying Xie, Yuming Xie on 07-27-2001, 07-25-2001, 07-27-2001, 07-27-2001, 07-27-2001, respectively, appoints the practitioners associated with the Customer Number provided below (i.e., the practitioners associated with the Patent Department, Corning Incorporated) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith. Please direct all correspondence to Thomas R. Beall at the address associated with that Customer Number.

Customer Number: 22928

22928

PATENT TRABEHARK OFFICE

CORNING INCORPORATED

Date: AUGUST 9, 2001

Mark W. Lauroesch

Division Vice President and

Director, Intellectual Property Law

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES, such inventions being generally described in an application for Letters Patent of the United States executed on

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I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

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Signed at Corning, NY, this		
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Date	Grangshan Li	
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	Mistar Th. Dapus
•	Notary Public Susan M. L.
	Susan M. Hynes Notary Public State of New York No. 01HY6023186 Qualified in Steuben County
	Commission of Control

As a below named inventor, I declare that:

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES**.

	The specification of w	hich (check on	ly one item below):		!
X is a	attached hereto				
	s filed as United States d was amended on	Application Se (if applicable			
	s filed as PCT internation and a series of the series of t		n number, on (if applicable).	, and was	
specific	I hereby state that I have cation, including the cla				
applica	I acknowledge the duty tion in accordance with				nination of this
interna below	I hereby claim foreign of any foreign applicati tional application which and have also identified ne subject matter, having d:	on(s) for paten designated at below any for	t or inventor's certifi least one country otleign application for p	cate or 365(a) of a ner than the Unites patent or inventor's	iny PCT s States, listed s certificate, on
	Country:	Application N	No.:	Filing Date:	
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States I disclose 35 Unit Title 37	I hereby claim the bend States application(s) or listed below and, insofa- ed in the prior United S ted States Code § 112, I 7 Code of Federal Regu- tion and the national or	365(c) of any ras the subject tates application acknowledge lations, § 1.56	PCT international apmatter of each of the in the manner protthe duty to disclose the duty to disclose the duty to disclose the between the duty to disclose the duty the duty to disclose the duty to disclose the duty the	plication designate e claims of this apprided by the first penaterial informations ween the filing date	ing the United plication is not aragraph of Title on as defined in
	Provisional No.:		Filed:	Status:	
	Application No.:		Filed:	Status:	
	PCT Application No	:	Filed:	Status:	
\boxtimes	NONE				

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Yijia Bao **Resident Address:** 40 Fox Lane Extension, Painted Post, New York 14870 **Post Office Address:** same **UNITED STATES** Citizenship: **Full Name of Inventor:** Guangshan Li Resident Address: 139 West Pulteney Street, Corning, New York 14830 Post Office Address: same Citizenship: CHINA **Full Name of Inventor:** Nalini Raghavachari **Resident Address:** 102 Fieldview Drive, Painted Post, New York 14870 **Post Office Address:** same Citizenship: **UNITED STATES** Natini R. DATE: 7/27/01

Nalini Raghavachari

Full Name of Inventor: Mingqian He

Resident Address: 96 Brook Road, Painted Post, New York 14870

Post Office Address: same

Citizenship: UNITED STATES

DATE: 0//25/01 Mingqian He'

DECLARATION IN ORIGINAL APPLICATION

U.S. Attorney Docket No.: SP01-208 6 Overbrook Road, Painted Post, New York 14870

Full Name of Inventor:

Resident Address: Post Office Address:

same

Citizenship:

UNITED STATES

Xinying Xie

Xinying Xie

Full Name of Inventor:

Yuming Xie

Resident Address: Post Office Address: 6 Overbrook Road, Painted Post, New York 14870

same

Citizenship:

UNITED STATES